

Anti-money laundering services

For asset managers subject to SRO supervision (IAM)

evolute

Digital Wealth Management Platform

Unlock the true value of
wealth management

Compliance

- Anti-money laundering duties
- Compliance checks (e.g. client onboarding, checking customer dossiers, due diligence/PEP checks, monitoring of business relationships with increased risk, monitoring of transactions with increased risk, code of conduct)
- AML training
- Submission of applications to the SRO

Legal (optional)

- Review of contracts
- Implementation and individualization of standard contracts for specific issues
- Drawing up of sector-specific model contracts
- Maintenance of the complaint register
- Support in processing legal cases
- Drawing up and requesting of license applications for FINMA (incl. advice and support throughout the whole process)

Sparring partner

- Process optimization and implementation (e.g. client onboarding)
- Support with/processing of inquiries from counterparties
- Support during AML audit

You will receive your
own personal
specialist AML
manager.